# Interface Surfaces for Protein-Protein Complexes * 

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#### Abstract

Protein-protein interactions, which form the basis for most cellular processes, result in the formation of protein interfaces. Believing that the local shape of proteins is crucial, we take a geometric approach and present a definition of an interface surface formed by two or more proteins. We also present an algorithm and study the geometric and topological properties of these surfaces, thus paving the way for future biochemical studies of protein-protein interactions.


## Categories and Subject Descriptors

G.2.1 [Discrete Mathematics]: Combinatorics - combinatorial algorithms; I.3.5 [Computer Graphics]: Computational Geometry and Object Modeling - geometric algorithms; I.5.1 [Pattern Recognition]: Models - geometric; J. 2 [Computer Applications]: Physical Sciences and Engineering - chemistry, physics; J. 3 [Computer Applications]: Life and Medical Sciences - biology and genetics.

## General Terms

Algorithms, Theory.

## Keywords

Protein interaction, interface surfaces, geometric and topological algorithms, Voronoi diagrams, filtrations.

## 1 Introduction

Protein-protein interactions form the basis for most cellular processes including events intimately linked to human disease such as cell division and growth. Although proteinprotein interactions are ranked high on the list of unsolved

[^0]problems, they remain poorly understood in regard to basic classification, specificity of recognition, and energetics of binding. A comparison can be made between the current state of the protein-protein interaction field and the field of protein structure prior to the descriptors and classifications that have now become part of the standard language. Specifically, following the definition of $\alpha$-helices and $\beta$-sheets and the determination of numerous protein structures, it became possible to visualize and classify proteins into families (e.g. $\beta$-barrels or $\beta-\alpha-\beta$ sandwiches). These classifications have led to important insights into protein function, protein folding mechanisms, protein structure prediction, and evolutionary relationships. Even today, efforts at defining the functions of the many uncharacterized proteins in the human proteome (about 50\%) rely heavily on these descriptors. In an analogous manner, descriptors of protein interfaces based on geometry (shape) and physics (forces) that allow for visualization, characterization, and classification, can be envisioned as useful to the protein-protein interaction community. For example, such studies of interfaces may reveal regions of known importance such as binding hotspots, sites where mutation of specific residues lead to significant loss in binding energy. General interfacial features to be examined include geometric characteristics such as distances, pockets, wrinkledness and physical characteristics such as electrostatics and hydrophobicity.

Prior work. Information that elucidates the driving forces and pinpoints the specificity of protein-protein interactions has been extremely difficult to obtain via either experimental or computational approaches. The most concrete insights have come from experimental techniques. One popular technique, known as alanine scanning mutagenesis, involves making alanine mutants for each of the interfacial residues of interest and then assaying the mutants for a change in binding affinity. Alanine scanning studies performed by Wells and collaborators $[4,28]$ on the $\mathrm{hGH} / \mathrm{hGHbp}$ system have resulted in the hot-spot theory of interactions. According to this theory, although protein interaction surfaces are large and complicated, only a few specific regions of the interface are responsible for the majority of the interaction energy.

Similar studies performed on other protein-protein systems have provided evidence for the general applicability of the hot-spot theory [2, 23, 25]. To explain the association rate of two proteins, a theory known as electrostatic steering has been developed and appears to identify charged residues at the periphery of the protein-protein interface as a major component of long-range interactions for certain protein-protein complexes [18, 24, 26].

Computational studies analyzing static crystal structures of protein-protein complexes have historically provided a rough view of general features found in protein-protein interactions. Several surveys of interfaces have been performed $[15,19,29]$ and typically have the following format: interfaces are defined by a distance threshold, the solvent excluded surface area, or a combination of the two, and statistical analyses of geometric and biochemical characteristics are performed. Results from these studies include an average buried area of protein-protein interfaces $\left(1,600 \pm 400 \AA^{2}\right)$ and the more hydrophobic nature of interfaces in comparison to other protein surfaces. These studies have not fared well when attempting to provide deeper insights into proteinprotein interactions. The key to using a computational approach for unlocking the information captured in crystal structure data is the use of an appropriate model, which can be either physical or geometric. Recently, Kortemme and Baker [16] used a physical approach by applying a simple force-field model of interactions to probe free energies at protein-protein interfaces with relatively good success. The only previous geometric approach to defining protein-protein interfaces was described by Varshney et al. [27]. Their definition is asymmetric with respect to the molecules and yields relatively fractured surfaces due to the use of absolute distance thresholds.

Methods and results. We use concepts developed in computational geometry and topology [6] to define interface surfaces that are symmetric and avoid fracture through the use of a relative distance threshold. The particular concepts we base our work on are the Voronoi diagram whose application to protein data has been pioneered by Richards [22], the alpha shape representation of molecules introduced in [12], the discrete flow on the Delaunay simplices used in the past to define pockets [8] and to reconstruct surfaces [7], and the assessment of the importance of topological features as defined in [11]. Using these concepts, we deal with the difficult and important problem of specifying interface boundaries. In addition, we give a robust and efficient algorithm for constructing interface surfaces. Finally, we construct a level-offocus hierarchy that distinguishes protected from peripheral regions. We have implemented the algorithm and use examples constructed with our software to illustrate the primarily theoretical discussions. We also use the software to analyze basic geometric and topological properties of interface surfaces and present some of our findings. A particularly tantalizing fact is the surprisingly high correlation between the protected portions of the interface surfaces and the experi-
mentally determined hot-spot residues of protein-protein interactions.

Outline. Section 2 introduces the definition of molecular interface surfaces and presents the algorithm for constructing them. Section 3 describes measures for analyzing interface surfaces and preliminary biochemical applications. Section 4 discusses extensions of this work.

## 2 Definition and Algorithm

The definition of an interface surface combines two intuitions, namely that the multi-chromatic part of the Voronoi diagram is the best separation between complexed molecules, and that the interesting portion of that separation is protected by a relatively tight seal. In this section, we turn the two intuitions into an unambiguous definition and an efficient algorithm.

Smooth inspiration. The unambiguous construction of an interface surface is based on discrete data and is couched in the language of discrete geometry and combinatorial topology. We now describe an intuitive smooth process that motivates the discrete steps. Imagine a smooth map on space, $f: \mathbb{R}^{3} \rightarrow \mathbb{R}$. Assuming $f$ is generic, we gain an understanding of the function by looking at its critical points, which are minima, saddles of index one and two, and maxima. We use the critical points and their relationship to form a hierarchical partition of space. This is more easily described in one dimension less, so imagine a generic smooth map on the plane, $f: \mathbb{R}^{2} \rightarrow \mathbb{R}$, whose critical points are minima, saddles, and maxima. Now flood the plane by continuously raising the water level at all locations. Structurally significant events happen when we reach critical points: a minimum starts a lake, a saddles merges two lakes or forms an island, and a maximum ends an island. An alternative way of flooding the plane raises the water level without seepage. In other words, the sea rises but minima do not automatically start a lake when the water reaches their level. Water can invade the land only by flowing over dams, which first happens at saddles. Once the sea reaches a saddle, it can flow into the basin on the other side, which is a recursive process. Flooding starts at the lowest point of the basin until it reaches the height of that same saddle, filling its own basins and creating its own islands in the process. In the end, the sequence of floods defines a hierarchical partition of the plane determined by the relative position and height of the saddle points. Returning to three dimensions, we obtain a similar hierarchical partition of space determined by the relative position and height of the saddles of index one.

To relate this picture with interface surfaces, let $f$ be a generic smooth approximation of the (negative) local distance to the nearest atom or sphere in a complex of molecules. In a nut-shell, the hierarchy of interface surfaces is the cross-section of the partition of space defined by the
surface that separates the molecules from each other. Giving up the smooth picture and translating it into a discrete construction turns out to be a major undertaking, but one that is worthwhile as it leads to a stable and extremely efficient algorithm. In the translation we map critical points to simplices in the Delaunay triangulation: minima to tetrahedra, index-one saddles to triangles, index-two saddles to edges, and maxima to vertices. Continuous flooding without flowing over critical points translates into a retraction, which we describe as the composition of collapses. Finally, a watershed event translates into the deletion of a tetrahedron (the lowest point in the basin), a retraction (flooding of the basin), and the deletion of a triangle (the saddle causing the watershed). The retraction itself may have a complicated recursive structure mimicking the recursive sequence of watersheds. This structure is rationalized by a pairing of the critical points that mark the beginning and end of the watersheds.

Surfaces without boundary. We begin the discrete construction by turning the first intuition on separating complexed molecules using the multi-chromatic subcomplex of the Voronoi diagram into a technical description. Consider $\ell \geq 2$ molecules, each represented by its space-filling diagram, which is a union of finitely many solid spheres or balls in $\mathbb{R}^{3}$. Denote the collections of balls by $B_{1}$ to $B_{\ell}$ and let $B=\bigcup_{j=1}^{\ell} B_{j}$. We introduce the (weighted) Voronoi diagram, which decomposes space into convex cells, one per ball in $B$. Formally, $\pi_{b}(x)=\|x-z\|^{2}-r^{2}$ is the weighted square distance of a point $x \in \mathbb{R}^{3}$ from a ball $b$ with center $z \in \mathbb{R}^{3}$ and radius $r \in \mathbb{R}$, and the Voronoi cell of $b \in B$ is the set of points $x$ for which $\pi_{b}(x) \leq \pi_{c}(x)$ for all balls $c \in B$. In the generic case, every Voronoi cell is either empty or a convex polyhedron with non-empty interior. Similarly, the intersection of two Voronoi cells is either empty or a convex polygon, that of three is either empty or a line segment, and that of four is either empty or a point. The left picture in Figure 1 illustrates this definition for two collections of disks in the plane. The color of a polyhedron is the index of the collection $B_{j}$ that contains the generating ball. A Voronoi polygon belongs to exactly two polyhedra and is therefore either mono-chromatic or bi-chromatic. Similarly, a Voronoi segment or point can be either monochromatic or multi-chromatic, and the latter occurs if and only if it belongs to a bi-chromatic polygon. The interface surface $\mathbb{S}=\mathbb{S}\left(B_{1}, B_{2}, \ldots, B_{\ell}\right)$ consists of all multichromatic Voronoi polygons, segments and points.

For $\ell=2$ molecules, each multi-chromatic segment belongs to exactly two bi-chromatic polygons, and each multichromatic point belongs to a topological disk formed by three or four bi-chromatic polygons. It follows the interface surface is a 2-manifold without boundary, and because it separates color- 1 from color-2 polyhedra, it is necessarily orientable. For $\ell>2$ molecules we may have tri-chromatic segments and tri- and four-chromatic points. After removing these segments and points from $\mathbb{S}$, we get a (possibly
empty) orientable 2-manifold without boundary for each pair of colors. These 2-manifolds fit together in triplets along trichromatic curves and in six-tuplets around four-chromatic points.

Growth and filtration. Before incorporating the second intuition into the definition, we need to understand the evolution of the space filling diagram as the balls grow. The key concept here is the filtration of dual complexes. We begin by introducing the (weighted) Delaunay triangulation $D$ obtained by dualizing the Voronoi diagram: for every collection of Voronoi cells with non-empty common intersection we add the convex hull of the centers of the generating balls to $D$. In the assumed generic case, the convex hulls are simplices of dimension 0 to 3: vertices, edges, triangles and tetrahedra. Similarly, we obtain the dual complex $K$ of the space-filling diagram $F=\bigcup B$ by dualizing the restriction of the Voronoi diagram to the space-filling diagram: for every collection of Voronoi cells whose common intersection contains points of $F$ we add the convex hull of the centers of the generating balls to $K$. The right picture in Figure 1 illustrates this definition.

Now imagine we grow the balls simultaneously in such a way that the Voronoi diagram does not change. Letting $t \in \mathbb{R}$ be time, we accomplish this by growing the ball $b$ with center $z$ and square radius $r^{2}$ to the ball $b_{t}$ with the same center $z$ and with square radius $r^{2}+t$ at time $t$. (For negative time we may have negative square radii, which correspond to imaginary radii and balls.) The growth does not affect the Voronoi diagram because it does not change the difference between any two square radii. It follows that the Delaunay triangulation does not change and the dual complex contains progressively more simplices until it eventually equals the Delaunay triangulation. We are interested in the detailed evolution. Since there are only finitely many simplices, we have only finitely many different dual complexes, which form a nested sequence interpolating between the empty complex and the Delaunay triangulation:

$$
\emptyset=K_{0} \subset K_{1} \subset \ldots \subset K_{m}=D
$$

We refer to this sequence as the filtration of dual complexes. An elementary step in the evolution consists of adding the simplices $\tau \in K_{i}-K_{i-1}$ to $K_{i-1}$. In the generic case, this happens when the (growing) space-filling diagram encounters a new Voronoi point, segment, polygon or polyhedron. We distinguish between critical events in which there is only one such simplex $\tau$ and regular events in which $K_{i}$ differs from $K_{i-1}$ by two or more simplices. There are three particular types of events, two critical and one regular, that are more relevant to the construction of the interface surface than others:

Type 1. Four balls close in from all directions on a Voronoi point. This corresponds to adding a single tetrahedron to the dual complex.


Figure 1: Left: the solid bi-chromatic Voronoi segments form the interface curve that separates the two collections of disks. The dotted monochromatic segments complete the Voronoi diagram. Right: the dual complex of the union of disks and the shrunken and clipped interface curve separating the two collections.

Type 2. Three balls close in from all normal directions on a segment, eventually touching it at an interior point. This corresponds to adding a single triangle to the dual complex.
Type 3. Four balls close in on a Voronoi point, but they leave a gap around one of the incident segments and encounter both at the same moment. This corresponds to adding a triangle-tetrahedron pair to the dual complex.

A common representation of the filtration is the list of simplices ordered by the time they join the dual complex. Simplices that join at the same moment are ordered by dimension and remaining ties are broken arbitrarily. An algorithm for constructing this representation can be found in [12], and software is publically available in [30]. It first computes the Delaunay triangulation, then determines the times the simplices join the dual complex, and finally sorts the Delaunay simplices by time and dimension. For biomolecular data, the number of Delaunay simplices is typically some constant times the number of balls, $n$, and the Delaunay triangulation can be constructed in time $\mathrm{O}(n \log n)$, see eg. [6]. Determining the times and sorting the simplices takes again time $\mathrm{O}(n \log n)$.

Boundary through retraction. We are now ready to incorporate the second intuition into the definition, namely that the interesting portion of the interface surface is protected by a relatively tight seal. Portions outside this seal are removed by retraction, which can be understood as a reversal of the growth process relaxed to a partial order [7]. We explain this by considering the filtration of dual complexes. Re-index the simplices in the corresponding sequence such that $\tau_{i j}$ is the $j$-th new simplex in $K_{i}$. In the generic case, the simplices in $K_{i}-K_{i-1}$ form an abstract simplex: there are $2^{k}$ simplices $\tau_{i j}$, for $1 \leq j \leq 2^{k}$, and every $\tau_{i j}$ is face of $v=\tau_{i 2^{k}}$ and has $\sigma=\tau_{i 1}$ as a face. We write $\sigma \leq \tau_{i j} \leq v$ to express the latter property. For the time being, we are only interested in regular events characterized by $k \geq 1$. Adding the $2^{k}>1$ simplices to $K_{i-1}$ does not affect its homotopy type. We note that $\sigma$
is free in $K_{i}$, by which we mean that it is face of the faces of a single simplex, namely of $v$, but of no other simplex in $K_{i}$. We refer to the operation that deletes $\sigma$ together with all simplices that contain it as a collapse. In our algorithm, we use only collapses for which $v$ is a tetrahedron. Triangles, edges and vertices that do not belong to any remaining tetrahedron are deleted as soon as they arise. We also require that a collapse deletes all and not just some simplices joining the dual complex at the same moment. We define a retraction as a maximal sequence of collapses. In other words, it applies collapses to a given complex until there is no further collapse possible. In the implementation of this operation, we maintain a stack of candidate pairs $(\sigma, v)$, with new pairs pushed on the stack when they appear. We may think of a retraction as the process of successively deleting sinks from an acyclic directed graph. It follows that the result of the operation is independent of the sequence in which the collapses are performed. We finally get a shrunken interface surface as a side-effect of retaining only polygons that correspond to bi-chromatic edges in the retracted complex. If this is an interior edge then we retain the entire polygon, else we clip the polygon and retain only the pieces that correspond to incident tetrahedra in the retracted complex. Figure 2 illustrates this idea by showing the retracted interface surface of two complexed proteins on the far left.

Hierarchy through persistence. It remains to explore the critical events characterized by $k=0$, that is, $v=\tau_{i 1}$ is the only new simplex in $K_{i}$. We use the concept of topological persistence to quantify how different $v$ is from being regular. Such a notion makes sense because the addition of $v$ to $K_{i-1}$ either creates or destroys a topological feature and, as shown in [11], there is a unique critical matching simplex $\sigma$ that earlier created what $v$ destroys or that will later destroy what $v$ creates. We call the time-lag between the addition of $\sigma$ and the addition of $v$ the persistence of both. Suppose for example we have a critical triangle $\sigma$ and a matching critical tetrahedron $v$ in quick succession. Then their persistence


Figure 2: Four interface surfaces in the level-of-focus hierarchy of the barnase-barstar complex. The two colors distinguish clipped polygons next to the boundary from unclipped polygons in the interior.
is small indicating that the pair is very similar to a regular event in which $\sigma$ and $v$ would join the dual complex at the same moment. The algebraic justification of this definition is beyond the scope of this paper and given in [11] along with an algorithm that generates the matching pairs in worstcase time $\mathrm{O}\left(m^{3}\right)$, where $m$ is the number of simplices in the Delaunay triangulation. Our experimental results for protein data suggest however that the running time is much better, namely $\mathrm{O}(m)$ or similar.

We now take the shrinking process beyond the initial retraction step. Let $(\sigma, v)$ be a matching critical triangletetrahedron pair generated by the topological persistence algorithm. In the forward direction of the filtration, the addition of $\sigma$ creates a void which is destroyed by the later addition of $v$. We use the pair to define an extension of the collapse operation, which we call a removal: assuming $\sigma$ lies on the boundary of the remaining complex, we first delete $v$ and then retract around $v$. If the retraction reaches far enough, then $\sigma$ gets deleted just because both its tetrahedra have been deleted. However, it can happen that the retraction does not reach all the way, in which case we recurse for other pairs of simplices before deleting $\sigma$. Think of the retraction from $v$ as creating a dome in the space between the molecules and the triangle $\sigma$ as the entrance or the biggest gap in the seal surrounding the dome. We can now interpret the times $s$ and $u$ when $\sigma$ and $v$ join the dual complex as the sizes of the entrance and the dome. We define the seal value of $(\sigma, v)$ as $f(s, u)=\frac{s}{u-s}$. To decide whether or not to remove $\sigma$ and $v$ in the first place, we require that $s$ and $u$ are both positive and that $f(s, u)$ exceeds a positive constant threshold $C_{0}$. Since $v$ succeeds $\sigma$ in the filtration, we have $u>s$ and therefore $f(s, u)>0$. The seal value can be large for two reasons: because the difference in size between the dome and the entrance is small or because the entrance is large. The removal process is thus biased against both. Note also that for $s<s^{\prime}<u^{\prime}<u$ we have

$$
\begin{equation*}
f(s, u)<f\left(s^{\prime}, u^{\prime}\right) \tag{1}
\end{equation*}
$$

This monotonicity property is important for the correctness
of our algorithm because if the retraction around $v$ does not reach $\sigma$ then this can only be because there is a triangle $\sigma^{\prime}$ between $v$ and $\sigma$ that split the void created by $\sigma$ before it was destroyed by $v$. But then the other branch was destroyed by a tetrahedron $v^{\prime}$ preceding $v$ in the filtration. In other words, $s<s^{\prime}<u^{\prime}<u$, where $s^{\prime}$ and $u^{\prime}$ are the times $\sigma^{\prime}$ and $v^{\prime}$ join the dual complex. Inequality (1) guarantees that the simplices between $v$ and $\sigma$ are deleted by recursive removals so that $\sigma$ can eventually be deleted. The algorithm starts with the Delaunay triangulation and ends with a subcomplex that allows no further collapses or removals. Figure 3 gives some insights into the shrinking process by showing the seal values of the domes as they get deleted. The monotonically


0
5378
Figure 3: Interface signatures displayed with the evolution of deletions drawn from left to right. From top to bottom: the red graph shows the seal values of the domes, the green graph tracks the minimum seal value and the blue and magenta graphs plot $s$ and $u-s$.
non-increasing green graph plots the evolution of threshold value that corresponds to the interface. The red graph above the green shows how the deletion of a dome gives access to domes with even higher seal values, which are then recursively removed. The blue and magenta graphs display the evolution of the two components of the seal function, $s$ and $u-s$. These components help rationalize the occasional appearance of seemingly spurious specks of interface surface, which tend to have negative values of $s$. Such occurrences indicate clashes between the proteins and owe their existence to measurement or interpretation errors in the structure determination work. Figure 2 illustrates the result of shrinking
with four steps in the much longer nested sequence of interfaces surfaces of the barnase-barstar complex. The running time for constructing the hierarchy is constant per simplex in the Delaunay triangulation and therefore $\mathrm{O}(m)$.

## 3 Analysis

We believe that the primary use of molecular interface surfaces, as defined in this paper, will be to tease out useful information about protein-protein interactions. This can either be done directly, by studying the interface as a geometric object in its own right, or by using it as a domain on which functions expressing biochemical data are defined. An important component of these analyses is the visualization of the interface surfaces. As an illustration we provide several examples in Figure 6 to 10 . All interface surfaces in this section are generated using the coordinates of proteins taken directly from crystal structure, and whose atomic radii are set to the van der Waals parameters of the AMBER95 force field [5].

Hot-spots in protected regions. The main reason for creating the level-of-focus hierarchy of the interface is its facility to distinguish protected from peripheral regions. To demonstrate the biochemical implication of this hierarchy, we show that residues which have atoms involved in the late stages of the hierarchy are somehow more critical for the interaction. We do this by constructing a simple function which we then use to distinguish hot-spot from neutral residues in the interface. Letting $R$ be a residue, $p_{0}, p_{1}, \ldots, p_{k}$ its polygons in the interface which are generated by its side-chain atoms, we define

$$
h(R)=\sum_{i=0}^{k} \operatorname{area}\left(p_{i}\right) \frac{\operatorname{area}_{t}(R)}{\operatorname{area}_{t}(\mathbb{S})}
$$

where $\operatorname{area}_{t}(R) / \operatorname{area}_{t}(\mathbb{S})$ is the fraction of the interface surface that belongs to $R$, including $p_{i}$, at time $t$ when $p_{i}$ enters the interface surface. We predict $R$ as a hot-spot residue if $h(R) \geq 1.65$ and as a neutral residue otherwise. This cutoff is selected to equalize the percentages of correctly predicted hot-spot and neutral residues (see Figure 4 and the results below). For a baseline comparison, we also distinguish hotspot residues from neutral residues utilizing a measure common in protein structural studies, the solvent excluded surface area (sesa). The solvent accessible surface area (sasa) of a protein is defined in [17], and is the area of the outer envelope of a protein represented as a union of balls whose radii are expanded by the radius $(1.4 \AA)$ of a probe sphere. The sasa of a residue is the contribution of that residue's atoms to the total sasa of the protein. Then the sesa of a residue for a protein involved in a protein-protein complex is

$$
\operatorname{sesa}(R)=\operatorname{sasa}_{I}(R)-\operatorname{sasa}_{C}(R)
$$

where $s a s a_{I}$ is the accessible surface area of the residue in the isolated protein and $s a s a_{C}$ is the accessible surface


Figure 4: Fraction of hot-spot and neutral residues predicted correctly by $h(R)$ with varying cutoffs. The fraction of correctly predicted hot-spots is the sensitivity and the fraction of correctly predicted neutrals is the specifi city of the test.
area of the residue in the complex. We predict a residue to be a hot-spot residue if $\operatorname{sesa}(R) \geq 55 \AA^{2}$, meaning that at least $55 \AA^{2}$ of the residue's surface area is buried upon complex formation. Using the alanine scanning data for the nineteen protein complexes studies in [16] and setting the threshold for a hot-spot residue at $2.0 \mathrm{kcal} / \mathrm{mol}$, we employ both tests to predict hot-spot and neutral residues. With $h(R)$ we correctly identify $72.7 \%$ of the hot-spot and $72.5 \%$ of the neutral residues. This compares favorably against $\operatorname{sesa}(R)$ which correctly identifies $64.6 \%$ of the hot-spot and $64.6 \%$ of the neutral residues. It is important to note that we have limited both functions to side-chains, as opposed to mainchains, in an effort to be consistent with the nature of alanine scanning experiments. More precisely, we account for the area associated with each residue's interactions through its side-chain atoms, but do not directly account for the area associated with interactions through its main-chain atoms. The function $h(R)$ is similar in predictive power to the physical model of [16], which achieves slightly better percentages, $79 \%$ for hot-spot and $68 \%$ for neutral residues, for a threshold of $1.0 \mathrm{kcal} / \mathrm{mol}$ and worse percentages for the threshold of $2.0 \mathrm{kcal} / \mathrm{mol}$ we use.

While preliminary, these results testify to the potential of the interface surface in rationalizing biochemical processes that define protein interactions. We note for example that the level-of-focus hierarchy is a geometric concept similar to the O-rings which Bogan and Thorn [1] conjecture surround hot-spots in protein interactions, or to the protection phenomena of wrapped hydrogen bonds [13]. In this physical analogy the seal value becomes a measure of how difficult it is to break into a dome. For example, since proteins are immersed in water, one can imagine that the seal value indicates the degree of difficulty for water to enter a dome. However, at present we still lack an understanding of the intimate biochemical details of what the level-of-focus hierarchy captures, and are working towards this goal.

Global measures. One goal of our research is the classification of interfaces into types that correspond to different kinds of protein-protein interactions. We seek global mea-
surements that are likely to have biochemical significance. For example, it is generally acknowledged that for interfaces it is important to have a good geometric fit between the proteins. Here we focus on topological and geometric assessments of how contorted interfaces are.

We begin with topological characteristics, restricting ourselves to the relatively simple bi-chromatic case, in which the interface is an orientable 2-manifold with boundary. Topologically, a such connected manifold is completely characterized by its genus and its number of holes or boundary cycles [20]. Most interfaces we have examined thus far have genus zero, but there are exceptions. One is the interface created by vipoxin complex, a phospholipase $\mathrm{A}_{2}$ bound to its protein inhibitor, shown in Figure 9. It has genus three, indicating the existence of three pairs of linking cycles that lock the two proteins together, consistent with the high biochemical stability of this complex in solution. In the bi-chromatic case, having the number of holes that exceeds one is possible. For example, a portion of the Delaunay triangulation may shrink from a mono-chromatic triangle on its boundary and in this way punch a hole in the interface.

An interface can be highly contorted despite having zero genus. To get a handle on this phenomenon, we measure the average curvature and the variation from that average. A useful result in this context is the Gauss-Bonnet theorem that states the total Gaussian curvature is an invariant of a closed orientable 2-manifold, namely equal to $4 \pi$ times one minus the genus [14]. Interfaces are not smooth so we need an equivalent piecewise linear concept. For a vertex $u$, we call $\theta_{u}=2 \pi-\sum \varphi_{j}$ its angle deficiency, where $\varphi_{j}$ is the angle of the $j$-th interface polygon at $u$. The total angle deficiency is the sum of angle deficiencies over all $m=\operatorname{card} U$ interior vertices: $\Theta=\sum_{u \in U} \theta_{u}$. Following the convention from non-Euclidean geometry, we classify $\mathbb{S}$ as elliptic, flat or hyperbolic depending on whether $\Theta$ is positive, zero or negative. We use the average angle deficiency as a baseline and measure the root-mean-square variation as

$$
W=\sqrt{\frac{1}{m} \sum_{u \in U}\left(\theta_{u}-\frac{\Theta}{m}\right)^{2}}
$$

and call it the wrinkledness of $\mathbb{S}$. It is straightforward to compute the total angle deficiency and the wrinkledness in time proportional to the number of vertices of the interface.

To gain an intuition for several of the geometric global measures, we compute them for interface surfaces generated after the initial retraction from a set of seventy pairwise protein-protein complexes taken from [3]; see Table 1. The area of $\mathbb{S}$ ranges from 397 to $2408 \AA^{2}$ with a mean of 963 $\AA^{2}$. The interfacial solvent excluded surface area (sesa) as computed in [3] is a two-sided measure, with comparable areas ranging from 930 to $4430 \AA^{2}$ with a mean of $1906 \AA^{2}$. There is an approximate linear correlation between sesa and interface surface area of 1.42 with a correlation coefficient of 0.85 .

| Name | Area | AD | Name | Area | AD |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Protease-Inhibitor |  |  |  |  |  |
| 2ptc | 575 | 0.436 | 1 mct | 694 | 3.358 |
| 1avw | 1011 | 3.713 | 3 tpi | 643 | 1.166 |
| 1 tgs | 734 | 2.734 | 1cho | 736 | 0.289 |
| 1acb | 717 | 3.751 | 1cbw | 653 | 0.059 |
| 1 ppf | 900 | -0.628 | 1 fe | 546 | 2.088 |
| 2kai | 776 | 1.357 | 1hia | 847 | 2.781 |
| 3 sgb | 462 | 1.778 | 1cse | 745 | 3.167 |
| 2sic | 717 | 0.713 | 2sni | 869 | -0.924 |
| 1stf | 718 | -1.781 | 4cpa | 672 | 1.228 |
| Large protease complexes |  |  |  |  |  |
| 1bth | 871 | 2.819 | 4htc | 1035 | 1.020 |
| 1tbq | 1477 | -3.923 | 1toc | 1386 | -2.334 |
| 1dan | 1859 | 2.857 |  |  |  |
| Antibody-antigen |  |  |  |  |  |
| 1jhl | 638 | 0.609 | 1 vfb | 585 | 0.642 |
| 1 mlc | 510 | 0.194 | 3 hfl | 719 | 3.690 |
| 3 hfm | 825 | 1.528 | 1 fbi | 617 | 3.701 |
| 1mel | 502 | 4.792 | 1dvf | 775 | -0.401 |
| 1 nfd | 904 | -0.110 | 1ao7 | 866 | -0.197 |
| 2 jel | 638 | 1.372 | 1nca | 1308 | -1.793 |
| 1 nmb | 921 | -0.764 | 1 nsn | 1089 | 1.418 |
| losp | 747 | -2.164 | 1 qfu | 1307 | -0.641 |
| 1iai | 1000 | 0.545 | 1kb5 | 1151 | -0.293 |
| Enzyme complexes |  |  |  |  |  |
| 2pcc | 580 | -2.609 | 1gla | 712 | -1.150 |
| 1 brs | 703 | -4.176 | 1udi | 906 | -0.125 |
| 1dhk | 1686 | -0.717 | 1fss | 728 | 3.702 |
| 1ydr | 783 | -0.349 | 1dfj | 1795 | -0.668 |
| G-proteins, cell cycle, signal transduction |  |  |  |  |  |
| 1a0o | 397 | 1.647 | 1 gua | 617 | 1.272 |
| 1a2k | 966 | -0.801 | 1 agr | 1278 | -0.646 |
| 1tx4 | 1219 | -1.921 | 1 gg 2 | 1788 | -1.921 |
| 1 got | 1550 | -2.017 | 2 trc | 2408 | 3.758 |
| 1fin | 1533 | 4.321 | 1aip | 1639 | 2.705 |
| 1 efu | 2205 | -2.961 |  |  |  |
| Miscellaneous |  |  |  |  |  |
| 1ak4 | 409 | 0.821 | 1igc | 498 | 0.700 |
| 1efn | 488 | -1.827 | 1 fc 2 | 604 | -0.029 |
| 1 seb | 1081 | 1.486 | 1atn | 796 | -2.109 |
| 1ycs | 560 | 1.137 | 2 btf | 1048 | 0.642 |
| 1hwg | 2022 | 4.995 | 1 dkg | 1662 | 1.613 |

Table 1: Area and total angle defi ciency (AD) of 70 protein complexes grouped into six functional categories. AD is calculated for the second interface surface in the hierarchy.

The total angle deficiency results show that interfaces are contorted and span the entire range from hyperbolic (-4.176 radians) to elliptic ( 4.995 radians); see Table 1 . This is in clear contrast to the classical view of the protein-protein interface that has only a small $(2.8 \AA)$ mean deviation from planarity [3, 15]. This discrepancy in results can be explained by the planarity measure in these previous studies which first group atoms into subsets by a heuristic and then take the root-mean-square distance of each subset of atoms against their least-square planes. This generates an averaged local measure, as opposed to our global measure of total angle deficiency. In contrast to total angle deficiency, the wrinkledness has little variance with a mean value of approximately 0.2 for the set of protein-protein complexes considered. Perhaps not surprisingly, the wrinkledness notably in-
creases when hydrogens are added into the structures (data not shown).

Local measures. We are interested in local measures or maps that can be used in detailed studies of protein-protein interfaces. A simple example is the weighted distance function $\varpi: \mathbb{S} \rightarrow \mathbb{R}$ that maps every point $x$ of the interface surface $\mathbb{S}$ to the weighted distance from the closest ball. By construction, that ball is ambiguous since $x$ has at least one closest ball from either color. We may visualize this map using level lines, as in Figure 5. The minima, saddles and


Figure 5: Level line visualization of the weighted distance map over the interface surface of the barnase-barstar complex.
maxima of this map are of particular interest. Thinking of $\varpi$ as measuring the local thickness or distance between the two proteins, the minima and maxima become local extremas of interface thickness. According to smooth Morse theory [21], there are necessarily saddle points between the extrema, around which the sign of the change in local thickness changes four times. We can now explain the connection between the seal function and the local thickness map: each dome has a unique point $x$ of locally maximum thickness, and we have $u=\varpi^{2}(x)$. Similarly, each seal has a point $y$ of locally maximum thickness, which is a saddle of $\varpi$, and we have $s=\varpi^{2}(y)$. Now we just need to recall the pairing mechanism provided by topological persistence algorithm and we get the seal values as ratios $s /(u-s)$.

The method of defining continuous maps over the interface and analyzing them using ideas from Morse theory is general. We envision defining maps that express electrostatic and hydrophobic potentials, to name two, and to analyze them in terms of their critical points and their gradient flows. We refer to [10] for methods needed to cope with the difficulties that arise in the application of Morse theoretic ideas to piecewise linear data, and to [9] for concepts useful for comparing two or more maps defined over the same interface.

## 4 Conclusion

Given two or more proteins in complex, each represented by a space-filling diagram, we present a rigorous mathematical definition for an interface surface between them. This surface provides a more detailed view of the interaction region than traditional methods. Taking the interface surface as an independent entity, we may study it by defining geometric and topological measures over it and map properties of both proteins on it. Additionally, we define a level-offocus hierarchy which decomposes the interface surface into protected regions that appear to be biochemically important. This hierarchy may be studied on its own or incorporated into measures defined over the interface surface to enhance their analysis. Our novel representation of the interface surface will allow for new insights and discoveries in the study of protein-protein interactions. The generality of the interface surface definition also opens up other possibilities, such as studying water at protein-protein interfaces or internal packing of proteins. We might ask how different structural motifs within a single protein form internal surfaces, or geometrically characterize subtle structural rearrangements crucial to the functioning of proteins. In closing, we note that although we focus on applications in protein interactions, the interface concept itself is general and there are other areas, such as nanostructures, in which interfaces arise and our geometric ideas are useful.

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Figure 6: Three views of the interface between Barnase and Barstar, a bacterial ribonuclease and its protein inhibitor, respectively. This experimentally well-studied complex has served as a model system for studying protein-protein interactions, in particular for characterizing binding hot-spots. The interface is somewhat smaller than average but is fairly typical in terms of shape. Generated from pdb file 1BRS.


Figure 7: Two views of the interface between colicin E9 DNase and the immunity protein IM9, a toxin produced during cell stress and its inhibitor, respectively. The affi nity in the E9-IM9 complex is extremely tight (sub-femtomolar). This interface is also smaller than average, but has a very prominent saddle shape. Generated from pdb fi le 1BXI.
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Figure 8: Two views of the interface in human hemoglobin that demonstrate the utility of these representations for multimeric complexes. Hemoglobin consists of four separate but identical chains and the resulting interface shows the more complicated nature of a multi-subunit interaction. Generated from pdb fi le 1A3N.
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Figure 9: On the left, a view of the interface between human angiogenin and a placental ribonuclease inhibitor. The interaction between these proteins is extremely tight (femtomolar) and the interface exhibits both a very large surface area and an interesting overall bent shape. Generated from pdb file 1A4Y. On the right, a view of interface in the neurotoxic vipoxin complex from Western Sand Viper consisting of phospholipase A2 and its inhibitor. A rather unusual interface with genus 3 . Generated from pdb file 1JLT.


Figure 10: Four views of the interface in HIV-1 protease, a homo-dimeric protein complex. This enzyme has been an important target for drug development against AIDS. The interface is fairly complex, in part due to the 'flaps' involved in the interaction between the two subunits. Generated from pdb file 3AID.


[^0]:    *Supported by NSF CCR-00-86013, NSF EIA-99-72879 and NIH GM61822-01.
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